## STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: <u>Kardiametrics, LLC</u>	
States that it is:	
1. X The assignee of the entire right, title, and interest: or	
2 an assignee of less than the entire right, title and interes (The extent (by percentage) of its ownership interest is	
in the patent applicants/patents identified in the attached spreads	sheet by virtue of either:
A. X An assignment from the inventor(s) of the patent applic spreadsheet. The assignment was recorded in the United States Frame listed thereon.	•
OR	
B A chain of title from the inventor(s), of the patent applic assignee as follows:	ation/patent identified above, to the current
1. From:To:	
The document was recorded in the United States Reel, Frame, or for	s Patent and Trademark office at
2. From: To:	
2. From: To:	s Patent and Trademark office at which a copy thereof is attached.
3. From: To:	
The document was recorded in the United States Reel, Frame, or for	
Additional documents in the chain of title are listed on a	supplemental sheet.
The undersigned (whose title is supplied below) is authorized to	act on behalf of the assignee.
/Tarek N. Fahmi/	May 22, 2013
Signature	Date
Tarek N. Fahmi	866-877-4883
Printed or Typed Name	Telephone Number
Attorney of Record	
Title	

<b>Application No</b>	. Filing Date	Patent No.	Assignor(s)	Assignee	Reel / Frame (or attached)
08/975,723	11/20/97	6,050,972	Inventors	Percusurge, Inc.	009121/0753
, ,	1 ' '	<u> </u>	Medtronic Percusurge	3 /	,
			Inc.	Medtronic Ave, Inc.	014178/0901
			Medtronic Ave, Inc.	Medtronic Vacular, Inc.	030373/0915
			Medtronic Vascular,		
			Inc.	Kardiametrics, LLC	Attached
			me.	narametres, bbs	Treached
09/049,712	3/27/98	6,544,276	Inventor	Percusurge, Inc.	009281/0497
03/013,712	3/2//30	0,511,270	Medtronic Percusurge	l creasurge, me.	003201/0137
			Inc.	Medtronic Ave, Inc.	014178/0901
			Medtronic Ave, Inc.	Medtronic Vacular, Inc.	030373/0915
			Medtronic Vascular.	Meditoric vacular, inc.	030373/0713
			Inc.	Kardiametrics, LLC	Attached
			inc.	Karulametrics, LLC	Attacheu
09/768.031	1/23/01	6,986,778	Inventor	Percusurge, Inc.	009281/0497
09/766,031	1/23/01	0,900,770	Medtronic Percusurge	Percusurge, Inc.	009281/0497
			_	Madenania Assa Ina	014170 /0001
			Inc.	Medtronic Ave, Inc.	014178/0901
			Medtronic Ave, Inc.	Medtronic Vascular, Inc.	016133/0800
			Medtronic Vascular,	Wandlana dalar II C	A4411
			Inc.	Kardiametrics, LLC	Attached
08/464,579	C /E /OE	E 022 (E0	Income	Danas La	007517 /0050
08/464,5/9	6/5/95	5,833,650	Inventor	Perqsurge, Inc. Percusurge, Inc.	007517/0656 008448/0610
			Perqsurge, Inc.	Percusurge, Inc.	008448/0610
			Medtronic Percusurge	Madenaula A . a Tua	01.1170./0001
			Inc.	Medtronic Ave, Inc.	014178/0901
			Medtronic Ave, Inc.	Medtronic Vascular, Inc.	016536/0988
			Medtronic Vascular,		l , ,
			Inc.	Kardiametrics, LLC	Attached
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09/537,471	3/24/00	6,454,741	Inventors	Percusurge, Inc.	009274/0333
			Percusurge, Inc.	Medtronic Percusurge, Inc.	013174/0197
			Medtronic Percusurge		
			Inc.	Medtronic Ave Inc.	014137/0745
			Medtronic Ave, Inc.	Medtronic Vacular, Inc.	030373/0915
			Medtronic Vascular,		
			Inc.	Kardiametrics, LLC	Attached
10/214,450	8/5/02	6,805,692	Inventors	Percusurge, Inc.	009274/0333
			Percusurge, Inc.	Medtronic Percusurge, Inc.	013191/0335
			Medtronic Percusurge		
			Inc.	Medtronic Ave Inc.	014178/0901
			Medtronic Ave, Inc.	Medtronic Vacular, Inc.	030373/0915
			Medtronic Vascular,		
			Inc.	Kardiametrics, LLC	Attached
09/049,857	3/27/98	6,135,991	Inventors	Percusurge, Inc.	009274/0333
			Medtronic Percusurge		
			Inc.	Medtronic Ave, Inc.	014178/0901
			Medtronic Ave, Inc.	Medtronic Vacular, Inc.	30373/0915
			Medtronic Vascular,		
			Inc.	Kardiametrics, LLC	Attached

#### XXXXXXXXXX

### ASSIGNMENT AGREEMENT

This Assignment Agreement (the "Assignment Agreement") is made and entered into by and between MEDTRONIC VASCULAR, INC. ("Assignor" or "Medtronic"), a Minnesota corporation having a principal place of business at 3576 Unocal Place, Santa Rosa CA 95403, and KARDIAMETRICS, LLC ("Assignee") a Delaware limited liability company having a principal place of business at 2515 McKinney, Suite 1000—B, Dallas, Texas 75201, (collectively referred to herein as the "Partles" and individually as "Party"). The effective date of this Agreement shall be this 18th day of April, 2013 (the "Effective Date").

#### RECITALS

- A. Assignor is the owner of the patents set forth on <u>Schoolsle A-1</u> hereto; which collectively shall be referred to herein as the "Patents".
- B. Assignor and Assignee have agreed by way of that patent purchase Agreement dated April 11, 2013 ("Parchase Agreement"), by and between Assignor and Assignee, the terms of which are incorporated herein by reference, that Assignor shall sell, transfer, and assign and set over unto Assignee and Assignee shall accept, all rights, title and interest in and to the Patents as specified in the Purchase Agreement. In the event of any conflict between the terms of this Assignment Agreement and the referenced Purchase Agreement, the terms of the Purchase Agreement shall prevail.

NOW, THEREFORE, in consideration of the foregoing premises, and the covenants and agreements in this Assignment, Assignor and Assignee agree as follows:

1. Assignor does hereby sell, transfer, convey, assign and deliver to Assignee all of Assignor's right, privilege, title and interest in, to and under the Patents and reissues or reexaminations of any of the Patents, and, further, all applications for industrial property protection, including without limitation, all applications for patents, utility models, copyright, and designs which may bereafter be filed for any inventions described in said Patents in any country or countries, together with the right to file such applications, and the right to claim for the same the priority rights derived from the Patents under the laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable, in each instance the same to be held by Assignee for Assignee's own use and enjoyment, and for the use and enjoyment of Assignee's successors, assigns and other legal representatives, as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment and sale had not been made; together with all claims for damages, royalties, income or other remuneration (hereinafter "Damages") by reason of past, present and future infringements of the Patents or other rights being assigned hereunder, along with the right to sue for and collect such Damages for the use and

benefit of Assignee and its successors, assigns and other legal representatives.

- 2. Insofar as this assignment concerns European patents and patent applications, Assignor does hereby declare that it is the owner of said Patents and that Assignor has assigned same, along with all rights and duties appurtenant thereto, to Assignee and agrees that the assignment will be recorded by Assignee in the register with the European Patent Office; and Assignee hereby declares that Assignee has agreed to the assignment of the aforementioned Patents to it and that Assignee will simultaneously apply for recording of the assignment in the register with the European Patent Office.
- 3. Assignor hereby authorizes and requests the Commissioner for Patents of the United States, and any officer of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of intellectual property protection or applications as aforesaid, to issue the same to Assignee and its successors, assigns and other legal representatives in accordance with the terms of this instrument.
- 4. Assignor agrees that, whenever reasonably requested by Assignee, Assignor will execute all papers, take all rightful oaths, and do all acts which may be reasonably necessary for vesting title to the Patents in Assignee, its successors, assigns and legal representatives or nominees.
- Assignor authorizes and empowers Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any of the Patents or any application for patent, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable, and to invoke and claim such right of priority without further written or oral authorization from Assignor.
- 6. Assignor hereby acknowledges and agrees that all of the rights, title and interest in and to the Patents sold, transferred, assigned and set over to Assignee hereunder include all income, royalties, damages and payments now or hereafter due or payable with respect thereto, and all causes of action (whether in law or equity) and the right to sue, counterclaim, and recover for the past, present and future infringement of the rights assigned or to be assigned hereunder.
- Assignor hereby consents that a copy of this Agreement shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document that may be required in any country for the purpose of proof of the right of Assignee or nominee to claim ownership, including but not limited to the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

IN WITNESS April, 2013.	WHEREOF, the Pai	ies have executed this A  Assignor:	fatonie/fescular/asc.	
		By: Nysne: Şens S Tide: Vice Pre	etident	
		Assignee: Kar By: Name: Audrey Title: CEO		1944 (free transmission of the second of the

# SCHEDULE A-1 TO ASSIGNMENT AGREEMENT PATENTS

Country	App Nov.	App Date	Pat Non.	Issue Dets	Tith
US	08/464,577	6/3/1995	5,833,630	11/10/1998	CATHETER APPARATUS AND METHOD FOR TREATING OCCLUDED VESSELS

Same	***************************************				CONTROL OF THE PARTY OF THE PAR	
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3 8					INFLATION SYSTEM	į
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	 3	US 09/537,471	3/24/2020	6,454,741	9/24/2002	ASPIRATION METHOD
1270 120014500 10000000 10000000 110000004 11000			8/5/2002	6,805,692		ASPIRATION METHOD

Country	App Nos.	App Data	Pat Nas.	Isom Date	130%
US	09/049,857	3/27/1998	6,133,991	10/24/2000	ASPIRATION METHOD

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US	09/049,712	3/27/1998	6,544,276	4/8/2003	EXCHANGE METHOD
					FOR EMBOLI
<b></b>					CONTAINMENT
US	09/768,031	1/23/2001	6,986,778	1/17/2005	EXCHANGE METHOD
					FOR EMBOLIC
3					CONTADUMENT

The listing of a patent or application on this schedule is for inventory purposes only and does not constitute a representation or warranty as to any matter, including, but not limited to, claim scope, validity, enforceability, filing date, priority date, sufficiency of rights for any purpose, status (pending, abandoned, opposed, granted, expired) or relationship to any other patents or applications, listed or otherwise.